

**SYNAR:** The “SYNAR Regulation” (named after the Congressman who authored the legislation that resulted in this requirement) focuses on curtailing access and availability of tobacco products to youth. The regulation requires states to enact and enforce legislation which prohibits the sale of tobacco products to minors; conduct random, unannounced annual inspections of vendors (over-the-counter and vending machines) by using minors to attempt tobacco purchases; maintain a failure rate of purchase of less than 20 percent, and submit an annual report describing the State’s enforcement activities. The activity associated with this mandate may not be funded via Block Grant funds; however, failure to achieve a rate of less than 20 percent can result in a loss of up to 40% of a state’s Block Grant funds.

# **ANNUAL SYNAR REPORT**

**42 U.S.C. 300x-26**

**OMB No 0930-0222**

**[Rhode Island]**

**FFY 2006**

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES  
Substance Abuse and Mental Health Services Administration  
Center for Substance Abuse Prevention

[www.samhsa.gov](http://www.samhsa.gov)



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## INTRODUCTION

The Annual Synar Report (ASR) format provides the means for States to comply with the reporting provisions of the Public Health Service Act (42 U.S.C. 300x-26) and the Tobacco Regulation for the SAPT Block Grant (45 C.F.R. 96.130 (e)).

An agency may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-0222 with an expiration date of 08/31/2007. Public reporting burden for the collection of information is estimated to average 15 hours for Section I and 3 hours for Section II, including the time for reviewing instructions, completing and reviewing the collection of information, searching existing data sources, and gathering and maintaining the data needed. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to SAMHSA Reports Clearance Officer; Paperwork Reduction Project (0930-0222); 1 Choke Cherry Road, Room 7-1044, Rockville, Maryland 20857

### How the Synar report helps the Center for Substance Abuse Prevention

In accordance with the tobacco regulations, States are required to provide detailed information on progress made in enforcing youth tobacco access laws (FFY 2005 Compliance Progress) and future plans to ensure compliance with the Synar requirements to reduce youth tobacco access rates (FFY 2006 Intended Use Plan). These data are required by 42 U.S.C. 300x-26 and will be used by the Secretary to evaluate State compliance with the statute. Part of the mission of the Substance Abuse and Mental Health Services Administration's (SAMHSA) Center for Substance Abuse Prevention (CSAP) is to assist States<sup>1</sup> by supporting Synar activities and providing technical assistance helpful in determining the type of enforcement measures and control strategies that are most effective. This information is helpful to SAMHSA/CSAP in improving technical assistance resources and expertise on enforcement efforts and tobacco control program support activities, including State Synar Program support services, through an enhanced technical assistance program involving conferences and workshops, development of training materials and guidance documents, and on-site technical assistance consultation.

### How the Synar report can help States

The information gathered for the Synar report can help States describe and analyze sub-State needs for program enhancements. These data can also be used to report to the State legislature and other State and local organizations on progress made to date in enforcing youth tobacco access laws when aggregated statistical data from State Synar reports can demonstrate to the Secretary the national progress in reducing youth tobacco access problems. This information will also provide Congress with a better understanding of State progress in implementing Synar, including State difficulties and successes in enforcing retailer compliance with youth tobacco access laws.

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<sup>1</sup>The term State is used to refer to all the States and territories required to comply with Synar as part of the Substance Abuse Prevention and Treatment Block Grant Program requirements (42 U.S.C. 300x-64 and 45 C.F.R. 96.121).

### **Getting assistance in completing the Synar report**

If you have questions about programmatic issues, you may call CSAP's Division of State and Community Assistance at 240-276-2570 and ask for your respective State Project Officer, or contact your State Project Officer directly by telephone or e-mail using the directory provided. If you have questions about fiscal or grants management issues, you may call the Grants Management Officer, Office of Program Services, Division of Grants Management, at 240-276-1404.

### **Where and when to submit the Annual Synar Report**

The Annual Synar Report (ASR) must be received by SAMHSA no later than December 31, 2005. The ASR must be submitted in the **approved OMB report format**. Use of the approved format will avoid delays in the review and approval process. The chief executive officer (or an authorized designee) of the applicant organization must sign page 1 of the ASR certifying that the State has complied with all reporting requirements.

Submit one signed original of the report, one additional copy, and an electronic version on either CD-ROM or 3.5" diskette to the Grants Management Officer at the address below:

Grants Management Officer  
Office of Program Services, Division of Grants Management  
Substance Abuse and Mental Health Services Administration

#### **Regular Mail:**

1 Choke Cherry Road, Room 7-1091  
Rockville, Maryland 20857

#### **Overnight Mail:**

1 Choke Cherry Road, Room 7-1091  
Rockville, Maryland 20850

**FFY 2006: FUNDING AGREEMENTS/CERTIFICATIONS**

The following form must be signed by the Chief Executive Officer or an authorized designee and submitted with this application. Documentation authorizing a designee must be attached to the application.

<b>PUBLIC HEALTH SERVICES ACT AND SYNAR AMMENDMENT</b>
42 U.S.C. 300x-26 requires each State to submit an annual report of its progress in meeting the requirements of the Synar Amendment and its implementing regulation (45 C.F.R. 96.130) to the Secretary of the Department of Health and Human Services. By signing below, the chief executive officer (or an authorized designee) of the applicant organization certifies that the State has complied with these reporting requirements and the certifications as set forth below.
<b>SYNAR SURVEY SAMPLING METHODOLOGY</b>
The State certifies that the Synar survey sampling methodology on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2006 is up-to-date and approved by the Center for Substance Abuse Prevention.
<b>SYNAR SURVEY INSPECTION PROTOCOL</b>
The State certifies that the Synar Survey Inspection Protocol on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2006 is up-to-date and approved by the Center for Substance Abuse Prevention.
<b>State:</b>
<b>Name of Chief Executive Officer or Designee:</b>
<b>Signature of CEO or Designee:</b>
<b>Title:</b> _____ <b>Date Signed:</b> _____
<b>If signed by a designee, a copy of the designation must be attached</b>

**SECTION I: FFY 2005 (Compliance Progress)****YOUTH ACCESS LAWS, ACTIVITIES, AND ENFORCEMENT**

*42 U.S.C. 300x-26 requires the States to report information regarding the sale/distribution of tobacco products to individuals under age 18.*

- 1. Please indicate any changes or additions to the State tobacco statute(s) relating to youth access since the last reporting year. Please attach a photocopy of the change(s) in the State law(s) if any was made since the last reporting year. (See 42 U.S.C. 300x-26)**

- a. Has there been a change in the *minimum sale age* for tobacco products?**

☐ Yes ☒ No

*If Yes, current minimum age:* ☐ 19 ☐ 20 ☐ 21

- b. Have there been any changes in State law that impact the State's *protocol for conducting Synar inspections*? ☐ Yes ☒ No**

*If Yes, indicate change (check all that apply):*

- ☐ Changed to require that law enforcement conduct inspections of tobacco outlets  
☐ Changed to make it illegal for youth to possess, purchase or receive tobacco  
☐ Changed to require ID to purchase tobacco  
☐ Other change(s) (please describe): \_\_\_\_\_

- c. Have there been any changes in the law concerning *vending machines*?**

☒ Yes ☐ No

*If Yes, indicate change (check all that apply):*

- ☐ Total ban enacted  
☐ Banned from location(s) accessible to youth  
☐ Locking device or supervision required  
☒ Other change(s) (please describe): RI passed legislation to ban smoking inside restaurants & bars. (Law included)

- d. Have there been any changes in State law that impact the following?**

Licensing of tobacco vendors ☒ Yes ☐ No  
 Penalties for sales to minors ☒ Yes ☐ No

- 2. Describe how the Annual Synar Report (see 45 C.F.R. 96.130(e)) and the State Plan (see 42 U.S.C. 300x-51) were made public within the State. (Check all that apply)**

- ☐ Placed on file for public review  
☒ Posted on a State agency Web site (Specify Web site location: www.mhrh.ri.gov)

- ☐ Notice published in a newspaper or newsletter
- ☐ Public hearing
- ☒ Announced in a news release, a press conference, or discussed in a media interview
- ☐ Distributed for review as part of the SAPT Block Grant application process
- ☐ Distributed through the public library system
- ☐ Published in an annual register
- ☒ Other change(s) (*please describe*):

**3. Identify the following agency or agencies. (See 42 U.S.C. 300x-26 and 45 C.F.R. 96.130)**

**a. The State agency(s) *designated by the Governor for oversight of the Synar requirements*:**

Mental Health, Retardation & Hospitals

Has this changed since last year's Annual Synar Report? ☐ Yes ☒ No

**b. The State agency(s) *responsible for conducting random, unannounced Synar inspections*:**

Mental Health, Retardation & Hospitals

Has this changed since last year's Annual Synar Report? ☐ Yes ☒ No

**c. The State agency(s) *responsible for enforcing youth tobacco access law(s)*:**

Local Police Departments under the supervision of Mental Health, Retardation & Hospitals

Has this changed since last year's Annual Synar Report? ☐ Yes ☒ No

**4. Identify the State agency(s) responsible for tobacco prevention control activities.**

Mental Health, Retardation & Hospitals and Department of Health

Has the responsible agency changed since last year's Annual Synar Report?

☐ Yes ☒ No

**a. Describe the coordination and collaboration that occur between the agency responsible for tobacco control and the agency responsible for oversight of the Synar requirements. The two agencies (*check all that apply*):**

- ☐ Are the same
- ☐ Have a formal written memorandum of agreement
- ☒ Have an informal partnership
- ☒ Conduct joint planning activities

☐ Combine resources

☒ Have other collaborative arrangement(s) (please describe): \_\_\_\_\_

The Department of Mental Health, Retardation and Hospitals has been working closely with the Department of Health on the issues of Tobacco Control and prevention in the State of Rhode Island. Each of the departments has been working with the local municipal Substance Abuse Prevention Task Forces on vendor education, community education and promotion of prevention activities. We are also working closely together on our media campaigns trying to maximize the impact of the funds that we each have available. Some recent collaboration has been in the areas of legislative agendas and Tobacco Control Strategic Planning.

**5. Please answer the following questions regarding the State's activities to enforce the youth access to tobacco law(s) in FFY 2005. (See 42 U.S.C. 300x-26 and 45 C.F.R. 96.130(e))**

**a. Which one of the following describes the enforcement of youth access to tobacco laws carried out in your State? (Check one category only)**

☒ Enforcement is conducted exclusively by local law enforcement agencies.

☐ Enforcement is conducted exclusively by State agency(s).

☐ Enforcement is conducted by both local and State agencies.

**b. The following items concern penalties imposed for violations of youth access to tobacco laws by LOCAL AND/OR STATE LAW ENFORCEMENT AGENCIES. Please fill in the number requested or indicate if these data are unavailable or the item is not applicable.**

PENALTY	NOT APPLICABLE	NOT AVAILABLE	TOTAL	If Available	
				OWNERS	CLERKS
Number of <u>citations issued</u>			88		
Number of <u>finest assessed</u>		<input type="checkbox"/>	525		
Number of <u>permits/licenses suspended</u>	<input type="checkbox"/>	<input type="checkbox"/>	0		
Number of <u>permits/licenses revoked</u>	<input type="checkbox"/>	<input type="checkbox"/>	0		
Other (please describe):					

**c. What additional activities are conducted in your State to support enforcement and compliance with State tobacco access law(s)? (Check all that apply)**

☒ Merchant education and/or training

☐ Incentives for merchants who are in compliance (e.g., Reward and Reminder)

☒ Community education regarding youth access laws



- ☒ Media use to publicize compliance inspection results
- ☒ Community mobilization to increase support for retailer compliance with youth access laws
- ☐ Other activities (please list): \_\_\_\_\_

*Briefly describe all checked activities:*

#### **Strengths and Challenges of Enforcement:**

The most significant strength this year was our collaboration with the Chief's of Police Association. By opening the lines of communication with the Chief's of Police Association we were able cooperate at the highest level of the organization, which led to more enforcement activities this year. This was also reflected in our sale rate this year.

Many cities in Rhode Island are experiencing financial hardship due to a shortage of funds which affected their ability to perform enforcement activities. In many police departments there has been an increase in turnover with a reduction of hiring. This has affected the number of Tobacco Enforcement Activities completed this year.

#### **Supporting Activities:**

There was a press release issued by The Department of Mental Health, Retardation and Hospitals regarding the results of last year's annual survey results. A number of newspaper articles and letters to the editor were also written in Rhode Island regarding Tobacco.

In Rhode Island, the community substance abuse prevention task forces created under the Rhode Island Substance Abuse Prevention Act of 1987 (RISAPA) remain the main vehicle by which Rhode Island is able to comply with federal Substance Abuse Prevention and Treatment (SAPT) Block Grant requirements to implement environmental prevention strategies as part of the designed 20% set-aside for prevention. RISAPA task forces play an essential role in the State's meeting the requirements placed on it by the Synar Amendment to the federal Public Health Act. Task forces' broad-based representation and legislative mandate places them in a unique position to implement environmental strategies and to effect positive change within their communities.

RISAPA task forces continue to advocate for police participation in the Synar survey as well as for ongoing enforcement efforts. Task forces recruit, train, and accompany youth volunteers who attempt to purchase tobacco products from retail vendors. In many communities, task forces have conducted trainings of tobacco vendors to increase compliance with state youth access to tobacco laws and have made public the results of both the annual local Synar survey and ongoing enforcement efforts.

Statewide, task forces have successfully advocated for municipal regulations and ordinances limiting tobacco use, particularly in settings where youth are present. Ordinances have been enacted which prohibit tobacco use in municipally-owned buildings, on municipal athletic and recreational fields, and at municipally-sponsored events which involve underage youth. Task forces also have assisted schools to comply with the State law prohibiting smoking on school property.

During the FY 2005 legislative session, the Rhode Island General Assembly passed the Public Health and Workplace Safety Act (RI Gen Laws 23-20.10), making Rhode Island the seventh state in the nation to ban almost all indoor smoking.

In addition, the majority of task forces have implemented campaigns to educate all community members about the risks associated with tobacco use, including the harmful effects of environmental tobacco smoke (E.T.S.). These efforts, funded primarily through the RISAPA (MHRH- administered) and the Tobacco Control Program (Dept. of Health-administered) have served to decrease the incidence and prevalence of tobacco use statewide. Task forces also have provided resources to assist current tobacco users who wish to quit or offered referrals to state-sponsored cessation programs.

**R.I.S.A.P.A. TASK FORCES  
RHODE ISLAND DEPARTMENT OF MENTAL HEALTH,  
RETARDATION AND HOSPITALS (MHRH) FUNDED  
TOBACCO PREVENTION INITIATIVES  
2005**

**Barrington**

**Contact: Kathleen Sullivan, Coordinator**

The task force:

- worked cooperatively with Barrington Middle School to implement the Project Toward No Tobacco model program. All sixth graders participate in the program through health classes;
- worked with the municipal police department in March, 2005, to conduct Synar compliance checks. The compliance rate was 100% during this most recent round of checks;
- continues to work with the Principal of Barrington High School to review tobacco policies and to develop a solution to an on-going problem of smoking in student restrooms;
- assisted with a Kick Butts Day anti-tobacco track meet for 7<sup>th</sup> graders in May, 2005;
- facilitated a presentation for 7<sup>th</sup> grade students by Dr. Victor deNoble in September, 2005. As a follow up to this assembly, 7<sup>th</sup> graders pledged to remain tobacco free and created a pledge poster which was displayed in the Town Hall foyer.
- The coordinator testified several times at General Assembly sessions in support of proposed smoke-free workplace legislation. Task force members also wrote letters and made phone calls to legislators supportive of the legislation.

**Bristol**

**Contact: Ann Field-Piette, Coordinator**

The task force:

- continues to assist the municipal police department in ensuring compliance with the State's youth access to tobacco statute and assists with Synar compliance checks; and
- continued to work collaboratively with the Warren task force to implement the Stomp Out Smoking program at the regional Kickemuit Middle School and to support the efforts of the student assistance counselor in conducting smoking cessation programs for students.

**Burrillville**

**Contact: Gabrielle Abate, Coordinator**

The task force:

- implemented Project Northland at the local middle school;
- included tobacco prevention information in the resource guide developed by the task force and presented information at a parents' 6<sup>th</sup> grade orientation night in August, 2005;
- advertised a local cessation program in a local newspaper, which has resulted in increased participation in that program.

**Central Falls**

**Contact: Carol Watson, Coordinator**

The task force:

- through funding provided by the DOH's tobacco control program, participates in Project AIR, which also includes several other urban task forces.
- provides education about the dangers of tobacco use and E.T.S. to the community. Specific events include: the annual Multicultural Festival, the Schools and Communities Organized to Promote Excellence(SCOPE)Youth Expo, the Police Department's Block Party and Teen Night Out, Thanksgiving and Christmas food and present distributions, Easter in the Park, Central Falls High School and Veterans Memorial High School Health Fairs, Red Ribbon Week and Earth Day.
- develops and disseminates bilingual and culturally appropriate tobacco-related information throughout the community.
- collaborates with Progresso Latino's smoking cessation program.

- collaborates with the local police department in enforcing the State's law regarding youth access to tobacco products.
- conducts education campaign for retail tobacco vendors on the State's youth access to tobacco law and publicly recognizes those vendors who do not sell tobacco products to underage youth.
- develops and disseminates materials related to smoking cessation, including a list of cessation programs and resources.
- coordinates workshops in the City's English as a Second Language classes on the dangers of tobacco use and E.T.S.
- coordinates with Channel One's Substance Abuse Prevention and Treatment Block Grant-funded programs to provide tobacco control information and to facilitate communication among family participants.
- facilitates anti-tobacco workshops in the elementary, middle and high schools of Central Falls.
- Publishes a monthly Tobacco Awareness Newsletter for students in the Central Falls School District

**Chariho (Charlestown, Richmond, Hopkinton)**

**Contact: Paula Augusthino, Coordinator**

The task force:

- recruits youth volunteers to assist the local police department in conducting Synar-related compliance activities.
- conduct classroom presentations at the 5<sup>th</sup> grade level in the Middle School and Elementary Schools using Peer Leaders from Chariho Middle School "Natural Helpers" program. Most of these presentations are presented as part of the *Kick Butts Day* initiative.
- through funding from the R.I. Dept. of Health and using peer leaders from Chariho High School "Teens as Teachers", focuses on changing peer and community norms related to tobacco use. They continue their efforts with radio broadcasts to voice their message. This year they have developed a power point program that they will deliver to the local senior center.
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- “Teens as Teachers” joined the effort to help others quit smoking at the High School during the “*Great American Smoke-out*”. They handed out bags that day to students during all lunch periods that included information on smoking cessation.
- “Teens as Teachers” also continues their work educating their younger peers in the classroom. This year, they are delivering the three sessions in the “Life Skills Program” that address tobacco. They are delivering this program district wide in all 5<sup>th</sup> grade classes.

### **Coventry**

**Contact: Louise Dias, Coordinator**

The task force:

- works with the Middle and High School administration to implement enforceable measures when youth are caught smoking in schools in violation of the State’s Smoke-Free Schools statute. High School students who are caught for the 4<sup>th</sup> time smoking in school are required to attend a smoking cessation/prevention program. The task force collaborates with the Student Assistance Counselor in the High School to implement the smoking cessation program.
- works collaboratively with the Student Assistance Counselor in the Middle School to conduct on-going tobacco prevention and cessation programs.
- distributed a “Town Assessment” report which educated the community about the level of tobacco use throughout all grade levels.
- Conducted planning activities relative to implementation of the NOT program in the 10<sup>th</sup> grade at the local high school.

### **Cumberland:**

**Contact: Andrea Trottier, MSW, LICSW, Coordinator**

The task force:

- continues to assist the municipal police department in determining compliance with the State’s youth access to tobacco statute and assists with Synar compliance checks.
- develops and disseminates tobacco-related information throughout the community during Junior Olympics event and through the school department.
- works collaboratively with the Mayor’s Cancer TaskForce to assist with enforcement and education of the Smokefree Workplace statute.
- collaborates with the Student Assistance Counselor in the High School and Middle Schools to conduct on-going tobacco prevention and cessation programs.

- works with the local Student Assistance Counselor during Red Ribbon Week. Tobacco use is one topic addressed during this period. SADD group participates in Kick Butts Day, the Great American Smokeout and read daily announcements on tobacco use issues. Participants advocate for tobacco and other drug-free lifestyles as well as focusing on the development of positive peer relationships.
- sponsors structured after school activities, which promote an ATOD-free lifestyle and encourage healthy decisions, stress management and peer leadership.
- sponsors a take home parent-child program, which includes a module on reducing parental approval of underage ATOD use.

**East Providence****Contact: Elmer Pina and Jennifer Wall, Co-Coordinators**

The task force:

- represented by the youth task force, DICE (Drug Intervention Community Educators), plans and implements comprehensive tobacco prevention activities, all of which focus on decreasing favorable attitudes towards tobacco use. One such initiative is “Teens Teaching the Truth,” a peer leadership program which educates 4<sup>th</sup> and 5<sup>th</sup> grade students. A focus of this program is increasing awareness of the dangers of tobacco use and ETS. Another program offered by DICE is “Mirror Image,” a theatre troupe which educates middle and high school students about the dangers of tobacco use. DICE was also a core member of “WORD,” Rhode Island’s youth empowerment anti-tobacco movement which was funded through the State Dept. of Health’s tobacco control program. Since this grant has ended, DICE continues to engage youth in advocacy efforts by collaborating with the Task Force’s Project Air. They have joined the Youth to Youth RI Alliance (East Providence, East Greenwich, Newport & Lincoln) to plan a state-wide youth conference to educate high school students about the dangers of tobacco and other drugs.
- participates in “Project AIR” which employs a variety of environmental strategies to address issues related to ETS and smoking cessation. This initiative is funded through the R.I. Dept. of Health.
- played a key role in advocating successfully for passage of Rhode Island’s smoke-free workplace legislation and continues to monitor its implementation in the community and EP school system by responding to complaints with site checks and advocacy events.
- disseminates tobacco information to parent/teacher organizations with a Small Communities Grant from the RI Department of Health.
- sponsors youth to attend local conferences to empower them to create change within the community. Some of the conferences attended include: Youth to Youth International, Rhode Island Teen Institute, and the Rhode Island National Guard’s Counter-Drug Adventure Camp.

- sends Task Force staff to tobacco specific trainings to stay updated and informed. Some of these trainings include: National Conference on Tobacco and Health and workshops offered by the Drug and Alcohol Treatment Association of RI (DATA).
- assists the local municipal police department in conducting annual Synar compliance checks and will continue to assist in conducting future enforcement activities.

**Exeter/West Greenwich**

**Contact: Louise Dias, Coordinator**

The task force:

- provides information to the two communities it represents on Rhode Island's Smoke-free Schools statute through the task force's community newsletters.
- successfully advocated for passage of a municipal ordinance which bans all alcohol, tobacco and other drug use at all youth events in the Town of West Greenwich. Signs with this message were then placed at all athletic and recreational fields. The task force continues to monitor compliance with this ordinance on an ongoing basis.
- continues to conduct workshops to raise awareness of the dangers associated with tobacco use at the annual sixth grade health fair and at other community events which target teens.
- works collaboratively with the School Resource Officer to recruit youth to participate in Synar compliance efforts.
- works with the local Student Assistance Counselor during Red Ribbon Week. Tobacco use is one topic addressed during this period.

**Foster/Glocester**

**Contact: Lorraine Kaul, M.A., M.P.A., CPP-R, Coordinator**

The task force:

- sponsors a counter advertising campaign, which includes ads in local newspaper and the task force newsletter. Information continues to be provided on how to develop a family policy against tobacco use by youth.
- promotes Partners in Prevention: campaign to encourage other community agencies to collaborate in influencing the community norms regarding anti-tobacco initiatives. To date, more than 80% of the contacted agencies have included anti-tobacco messages in their newsletters.
- continues to advocate for ordinances which limit exposure to ETS.

- works with local recreation department in advocating for an ordinance prohibiting tobacco use in any of the town's recreational areas.

### **Jamestown**

**Contact: Laura Hosley, Coordinator**

The task force:

- assists the local police department in conducting the annual Synar survey and enforcement efforts.
- helped coordinate presentations made by Dr. Victor deNoble at local schools.
- provides assistance in implementing Project Northland for grades 6-8 at the local school.
- supports the student assistance counselor in implementing tobacco prevention program at the middle school.
- assists the Dept. of Health to check compliance and mediate issues relative to enforcement of the new Smoke-free RI statute.

### **Lincoln**

**Contact: Pamela Shayer, Coordinator**

The task force:

- assists the local police department in conducting annual Synar survey and ongoing enforcement efforts.
- collaborates with local police department to educate local licensed tobacco vendors about R.I.'s Youth Access To Tobacco Law, which has served to increase vendor compliance with said law and to decrease local availability of tobacco products to underage youth.
- works with a student assistance counselor on a daily basis to organize and coordinate tobacco prevention activities (e.g., Red Ribbon Week, Great American Smokeout) with the High School Pathfinders peer mentoring group. This group meets on a bi-weekly basis.
- conducts a smoking cessation support group at the local library.



**Little Compton**

**Contact: Carolyn James, Coordinator**

The task force:

- in 2005, advocated for and won funding from the town for a Student Assistance Program in the middle school. The Student Assistance Counselor will directly monitor tobacco use and provide education and counseling to students.
- met with the Wilbur McMahon elementary/middle school principal, health teacher and guidance counselor to discuss ATOD issues as they affect the school community.
- successfully advocated to bring Project Northland into the middle school health curriculum.
- sponsored a presentation by Dr. Victor DeNoble to Wilbur McMahon School for a tobacco and addiction assembly (Sept., 2005). Comments from teachers and students suggest that this assembly made an enormous impression on its youth *and* adult audience.
- continued to disseminate information about tobacco use and cessation in the form of brochures/pamphlets, posters, features in the school's weekly packet (which goes home to families), etc.

**Narragansett**

**Contact: Nancy Devaney, Coordinator**

The task force:

- collaborates with health teachers at the elementary, middle and high school to offer additional curriculum materials, speakers, and project materials that are geared to tobacco use prevention. Additional relationships with the Student Assistance Counselors and the School Nurses can also be tapped to enhance their work with students. The SADD organization at both the Narragansett High School and the Narragansett Pier Middle School are very active and are provided with financial support to complete many of the tobacco-related projects that they undertake.
- supports Family Dinner/School Report Night at Narragansett Pier Middle School which aligns with state-wide initiatives and involves information dissemination about all aspects of tobacco use prevention.
- collaborates with the high school and middle school SADD groups to develop projects around tobacco use prevention including the Great American Smokeout, Kick Butts Day and World No Tobacco Day.
- participates in the annual health fair at the middle school and provides information dissemination around tobacco use prevention and/or performances with a related message.

- collaborates with the Narragansett Police Department to conduct tobacco compliance checks at local vendors

### **New Shoreham**

**Contact: Jill Connell, Coordinator**

The task force:

- distributes materials throughout the community on the dangers of tobacco use.
- collaborates with health teacher in conducting anti-tobacco initiatives.
- sponsors alternative activities, including the Off the Curb dance group, which present a drug-free message and distributes anti-tobacco material.

### **Newport**

**Contact: David Roderick, Coordinator**

The task force:

- discussed and reviewed the new Smoke-free Rhode Island statute to support the program locally. They were provided with detailed copies of the Rhode Island Smoke-free Public Place and Workplace Law; copies of the official complaint forms; and the Smoke Free Workplace Enforcement Initiative. Some materials, including copies of local newspaper articles printed to inform, promote and support the new law and smoking ban, were shared with both schools and businesses.
- supported the Newport City Council to again sponsor local legislation to prohibit the use of tobacco products in city parks and playgrounds during youth events and at all times in or at Cardines Field and Freebody Park.
- endorsed and supported Newport Art Museum's application to the Rhode Island Foundation to provide substance abuse prevention art classes and their proposal to target teen smoking and abuse of tobacco. Also shared RI statistics on smoking with them.
- advocated for improved tobacco legislation statewide through communications with our locally elected state representatives.
- participated in the Treatment Fair at Roger Williams Park in September sponsored by the SSA, distributing anti-smoking materials and discussing both the dangers and issues of tobacco/smoking.
- supported the Student assistance Program in Thompson Middle School which provides prevention programming, classes and the use of materials from the American Heart Association. School wide prevention programs were promoted during Red Ribbon

Week, the Great American Smoke-out and Kick Butts Day. Also at Rogers High School via the Project Success Program.

- supported Youth to Youth group whose members pledge to be tobacco and substance free and hold coffee houses and lock-ins where they discuss accurate information on the effects of tobacco and other drugs. The Youth to Youth group also participated in activities for Kick Butts Day and the Great American Smokeout.
- sponsored ads, letters to the editor, and articles in the local newspaper regarding the dangers of tobacco use as well as other substances.

### **North Kingstown**

**Contact: Kathy Yeager, Coordinator**

The task force:

- works collaboratively with three School Resource Officers and two Student Assistance Counselors to reduce tobacco use in the town's one high school and two middle schools.
- assists the local police department in conducting the annual Synar survey.
- developed a Teen Task Force at the high school which has as one of its main goals, reducing tobacco use among their peers. This group hosted an informational/educational display at the high school for the Great American Smoke-Out and continues to conduct peer education on tobacco at the middle-school level.

### **North Providence**

**Contact: Jean Vickers, Coordinator**

The task force:

- works with the High School Student Assistance Counselor to implement the NOT on Tobacco Youth Smoking Cessation Program.
- supports after school programs at the local Boys and Girls Club that include a module on the dangers of tobacco use.

### **North Smithfield**

**Contact: Paulette Hamilton-Kell, Coordinator**

The task force:

- conducted youth SADD activities with 7-8th graders. The Task Force purchased tee shirts for all 65 members of SADD. The members designed the tee shirts and wear them at every event affiliated with the task force. The members of SADD took part in a Memorial Day parade and handed out leaflets and lollipops that said "Smoking stinks" to all parade goers.

- wrote several articles in the local newspaper and school newsletter concerning the negative effects of tobacco use.
- in conjunction with the local PTO, distributed Halloween bags and “Smoking is Pointless” pencils at Halloween Party to over 250 children, which focused on discouraging youth from smoking and using other drugs.
- sponsor a permanent anti-tobacco display at municipal offices, the municipal library, and all schools.
- sponsored a Children's Safety Day that included giving out information to parents and children about the effects of smoking, along with Smokey the Fire Dog and the American Cancer Society.

**Pawtucket**

**Contact: Diane Dufresne, Coordinator**

The task force:

- oversees and facilitates the Pawtucket Tobacco Task Force which includes representatives from various municipal and private agencies as well as citizens and youth.
- actively advocated for passage of the Smoke-Free Rhode Island statute that went into effect 3/1/05.
- has sponsored an ongoing youth advocacy group since 1995 called SWAT (Students Working Against Tobacco) that has advocated with the City Council to change ordinances related to smoking at public outdoor facilities as well as ordinances related to sales of tobacco products to minors (in conjunction with the Pawtucket Police Department).
- facilitates annual anti-tobacco campaigns in conjunction with Red Ribbon Day, Halloween at the Carousel, decorating a Christmas tree with anti-tobacco messages for Christmas in the Park, the Great American Smoke-Out, Kick Butts day, Spring Fling in the Park, and World No Tobacco Day.
- provides peer education to elementary, middle, and high school students through classroom presentations and health fairs provides cessation program at the Blackstone Academy Charter School presently and previously has provided similar programs at other schools in the school district.

**Portsmouth**

**Contact: Caroline Miller, Coordinator**

The task force:

- provides community education about the risks of tobacco use and E.T.S., particularly through distribution of materials at the town library, Senior Center, all school health fairs, and through a Task Force brochure.
- raises parental awareness of the dangers of underage tobacco use and retail tobacco sales to youth and provides opportunities for parents to take action. Coordinator continues to address parent education groups, to distribute pamphlets and brochures, and to enlist volunteers for the American Cancer Society's TCAT(Tobacco Control Advocacy Team) Network.
- promotes enforcement (and community support for enforcement) of tobacco-related regulations and ordinances. Assesses compliance with local ordinance, which mandates that the town's athletic fields have signage prohibiting tobacco use and monitors enforcement efforts.
- continues to assess compliance with State law requiring schools to be smoke free and to post appropriate signage. Works collaboratively with the School Committee to improve compliance.
- the task force Coordinator is a certified Trainer of Facilitators for the NOT on Tobacco program of the American Lung Association. Each year, the Coordinator trains up to 18 new facilitators for this program statewide.
- Coordinator assists the Dept. of Health in ensuring compliance with the Smoke-Free Rhode Island statute primarily through follow-up on potential violations of the statute.

**Providence**

**Contact: Jill Thornton, Coordinator**

The task force:

- Disseminates tobacco related information at several neighborhood events, and health fairs.
- Collaborated with Southside Coalition/Tobacco Control Program on several tobacco prevention activities including:
  - "Not In Mama's House"- a Mother's Day/ World No Tobacco Month celebration to promote smoke-free homes and cars.
  - Health Fair- a health fair that focused on smoking related health concerns.
  - Smoke-Free Workplaces- Disseminated information to 6000 Providence based businesses on the new Smoke-Free Workplace Act. Held a breakfast roundtable discussion, in which over 200 people attended, to educate businesses on this new law.

- Assists Police Department with annual Synar survey.

### **Scituate**

**Contact: Marie Waldeck, Coordinator**

The task force:

- promotes community support for and enforcement of local tobacco-related regulations and ordinances
- supports a smoking cessation program at the Scituate Middle/High School.
- supports health teachers in the schools in conducting tobacco education initiatives.

### **Smithfield**

**Contact: Jean Vickers, Coordinator**

The task force:

- works with the High School Student Assistance Counselor to host activities highlighting the dangers of tobacco use.
- the task force's teen action group has identified elimination of tobacco use in the high school as its primary goal, and the group is developing and implementing strategies to accomplish that goal.
- supports the NOT on Tobacco program at the middle and high school.

### **South Kingstown**

**Contact: Valerie McGuire, Coordinator**

The task force:

- the teen task force collaborated with the American Cancer Society in the Great American Smoke Out by conducting a variety of activities at South Kingstown High School.

### **Tiverton**

**Contact: Rebecca Elwell, Coordinator**

The task force:

- worked collaboratively with local schools to place signage related to the State's smoke-free schools law in the schools, and to increase support for enforcing this law; and continues to monitor compliance with the law.

- sponsors the NOT teen smoking cessation program.
- recruits youth to assist the municipal police department in conducting Synar-related compliance efforts.

**Warren**

**Contact: Ann Marie Roy, Coordinator**

The task force:

- works with the local police department in conducting Synar-related compliance activities within the Town.
- sponsors the “Stomp Out Smoking” group at the Kickemuit Middle School. This group consists of 6<sup>th</sup> – 8<sup>th</sup> grade students who are actively working to prevent smoking by their peers at the Middle School.
- sponsors smoke-free family dinners, school dances, after-school programs, and community events.
- distributes anti-smoking resources at all task force-sponsored events and at all Middle School open houses for parents.
- works with the Middle School Student Assistance Counselor’s to provide informational sessions related to tobacco use to all middle school students.

**Warwick**

**Contact: Gloria Fairbanks, Coordinator**

The task force:

- assists the Student Assistance Counselors at all of the city’s Junior and Senior High Schools in conducting anti-tobacco groups and programs
- works with the local police department to conduct Synar-related compliance efforts
- supports the “Smoke Free Me” program at the municipal senior center. Sessions are conducted in six week blocks. A registered nurse and a pharmacist provide counseling to those seniors who are attempting to quit smoking.
- sponsors baseball and hockey tournaments which have a smoke-free theme.
- assists and sponsors local health expos for seniors and school health fairs at which the negative effects of tobacco use are addressed.

**West Warwick**

**Contact: Janice Rousselle, Coordinator**

The task force:

- supports local police department in implementing the DARE program which includes sessions on the dangers of tobacco use.
- successfully advocated to put in place policies where smoking is not permitted at the Town's athletic fields and the youth baseball and soccer leagues have a no-smoking policy during games and practices, which includes parents and coaches.
- sponsors Youth Mentors' dances and field trips, which are promoted as non-smoking events.
- supports the Explorers' Program which includes workshops on the dangers of tobacco use.
- takes part in health fairs and open houses at local schools by providing materials about the dangers of tobacco use.
- sponsors the NOT teen smoking cessation program in local schools.
- appraises the local police department of all youth access to tobacco information received from the SSA.

**Westerly**

**Contact: Mary Lou Serra, Coordinator**

The task force:

- as suggested by the Youth Task Force, provides 1 female and 1 male monitor to the High School to prevent smoking in the restrooms and around the school building.
- distributes anti-tobacco slide guides at local health fairs, pharmacies, the local police station, local businesses, and banks.
- recruits youth volunteers who work with the local police department in conducting Synar-related compliance checks.
- is working collaboratively with Babcock Middle School to implement a tobacco prevention program for the 8<sup>th</sup> grade.



**Woonsocket**  
**Contact Lisa Carcifero, Coordinator**

The task force:

- provides mock tobacco check training of appropriate Youth Committee members to assist in conducting mock tobacco checks.
- assists the Woonsocket Police Department with tobacco compliance checks
- designs and organizes an annual YOUTH LEAD Conference for youth which focuses on tobacco education.
- provides tobacco-related information through various modes of learning (visual, auditory and tactile) at each Youth Committee meeting.
- developed and distributed educational material, "Parenting Challenge" and other resource materials to parents of Youth Committee members, as well as to the general public.
- provides tobacco-related training to its WTFSA sub-committee members.
- conducts numerous initiatives to educate children and adults/seniors on the dangers of tobacco use and cessation.
- participated in statewide legislative initiatives such as the Smokefree Workplace legislation.
- distributes tobacco cessation material to the general public.
- has a monthly newspaper column in the local newspaper in addition to having tobacco-related topics on its radio show "Task Force Update."
- designs and distributes third grade newsletter focusing on tobacco-related issues.
- conducts a tobacco awareness day at the local YMCA.

**Merchant Education:**

The Department of Mental Health, Retardation and Hospitals, Division of Behavioral Healthcare Services, distributed notification to all vendors that sell tobacco products that the new Retailer's Tobacco Guide and all required signage was posted in downloadable versions on the state's website [www.mhrh.ri.gov](http://www.mhrh.ri.gov). The guide was adapted to meet the needs of RI and to incorporate the relevant state laws. It was also provided in Spanish for those vendors who speak and read Spanish.

### SYNAR SURVEY METHODS AND RESULTS

*The following questions pertain to the survey methodology and results of the Synar survey used by the State to meet the requirements of the Synar Regulation in FFY 2005. (See 42 U.S.C. 300x-26 and 45 C.F.R. 96.130)*

**6. Has the sampling methodology changed from the previous year?**

☐ Yes ☒ No

*The State is required to have an approved up-to-date description of the Synar sampling methodology on file with CSAP. Please submit a copy of your Synar Survey Sampling Methodology (Appendix B). If the sampling methodology changed from the previous reporting year, these changes must be reflected in the methodology submitted.*

**7. Please answer the following questions regarding the State's annual random, unannounced inspections of tobacco outlets. (See 45 C.F.R. 96.130(d)(2))**

**a. Did the State use the optional Synar Survey Estimation System (SSES) to analyze the Synar survey data?**

☒ Yes ☐ No

*If Yes, attach SSES summary tables 1, 2, 3 and 4 and go to Question 8.*

*If No, continue to Question 7b.*

**b. Report the weighted and unweighted Retailer Violation Rate (RVR) estimates, and the standard error.**

Unweighted RVR \_\_\_\_\_

Weighted RVR \_\_\_\_\_

Standard error (s.e.) of the (weighted) RVR \_\_\_\_\_

**Fill in the blanks to calculate the right limit of the right-sided 95% confidence interval.**

_____	+	(1.645	×	_____)	=	_____
<b>RVR Estimate</b>	<b>Plus</b>	<b>(1.645</b>	<b>times</b>	<b>Standard Error)</b>	<b>equals</b>	<b>Right Limit</b>

**c. Fill out Form 1 in Appendix A (Forms). (Required regardless of the sample design)**

**d. How were the (weighted) RVR estimate and its standard error obtained?***(Check the one that applies)*

- ☐ Form 2 (Optional) in Appendix A (Forms) *(Attach completed Form 2)*
- ☐ Other *(Please specify. Provide formulae and calculations or attach and explain the program code and output with description of all variable names.)*

**e. If stratification was used, did any strata in the sample contain only one outlet or cluster this year?**

- ☐ Yes   ☐ No   ☐ No stratification

*If Yes, explain how this situation was dealt with in variance estimation.***f. Was a cluster sample design used?**

- ☐ Yes   ☐ No

*If No, go to Question 7g.**If Yes, fill out and attach Form 3 in Appendix A (Forms), and answer the following question:***Were any certainty primary sampling units selected this year?**

- ☐ Yes   ☐ No

*If Yes, explain how the certainty clusters were dealt with in variance estimation.***g. Report the following outlet sample sizes for the Synar survey.**

	Sample Size
<b>Effective sample size</b> (sample size needed to meet the SAMHSA precision requirement assuming simple random sampling)	391
<b>Target sample size</b> (the product of the effective sample size and the design effect)	391
<b>Original sample size</b> (inflated sample size of the target sample to counter the sample attrition due to ineligibility and non-completion)	586
<b>Eligible sample size</b> (number of outlets found to be eligible in the sample)	505
<b>Final sample size</b> (number of eligible outlets in the sample for which an inspection was completed)	455

**h. Fill out Form 4 in Appendix A (Forms).**

**8. Did the State's Synar survey use a list frame?**☒ Yes ☐ No

*If Yes, answer the following questions about its coverage:*

**a. The calendar year of the latest frame coverage study: 2005****b. Percent coverage from the latest frame coverage study: 100%****c. Was a new study conducted in this reporting period?** ☐ Yes ☒ No

*If Yes, please complete Appendix D (List Sampling Frame Coverage Study) and submit it with the Annual Synar Report.*

**d. The calendar year of the next coverage study planned: January, 2006.****9. Has the Synar survey inspection protocol changed from the previous year?**☐ Yes ☒ No

*The State is required to have an approved up-to-date description of the Synar inspection protocol on file with CSAP. Please submit a copy of your Synar Survey Inspection Protocol (Appendix C). If the inspection protocol changed from the previous year, these changes must be reflected in the protocol submitted.*

**a. Provide the inspection period:** From: 04/01/05 To: 07/03/05  
MM/DD/YY MM/DD/YY**b. Provide the number of youth inspectors used in the current inspection year:**  
60**c. Fill out and attach Form 5 in Appendix A (Forms).** *(Not required if the State used the Synar Survey Estimation System (SSES) to analyze the Synar survey data)*

**SECTION II: FFY 2006 (Intended Use):**

*Public law 42 U.S.C. 300x-26 of the Public Health Service Act and 45 C.F.R. 96.130 (e) (4, 5) require that the States provide information on future plans to ensure compliance with the Synar requirements to reduce youth tobacco access.*

**1. In the upcoming year, does the State anticipate any changes in the:**

Synar sampling methodology ☐ Yes ☒ No

Synar inspection protocol ☐ Yes ☒ No

*If changes are made in either the Synar sampling methodology or the Synar inspection protocol, the State is required to obtain approval from CSAP prior to implementation of the change and file an updated Synar Survey Sampling Methodology (Appendix B) or an updated Synar Survey Inspection Protocol (Appendix C), as appropriate.*

**2. Please describe the State's plans to maintain and/or reduce the retailer violation rate for Synar inspections to be completed in FFY 2006. Include a brief description of plans for law enforcement efforts to enforce youth tobacco access laws, activities that support law enforcement efforts to enforce youth tobacco access laws, and any anticipated changes in youth tobacco access legislation or regulation in the State.**

The Department of Mental Health, Retardation & Hospitals will provide information and consultation to the General Assembly on loopholes in the laws that were passed last legislative session that allow for tobacco vendors to repeatedly sell to minors with no possibility of license revocation. Also, we plan to continue to meet with the Police Chief's Association to educate them on Synar and the benefits of ongoing enforcement, and with the Attorney General for support with the tobacco enforcement.

Rhode Island plans to continue a media campaign with the cooperation of The RI Department of Health and will continue event sponsoring. For merchant education the state will continue to post downloadable versions the Retailer's Tobacco Guide and the appropriate signage in both English and Spanish at the MHRH website.

**3. Describe any challenges the State faces in complying with the Synar regulation. (Check all that apply)**

- ☒ Limited resources for law enforcement of youth access laws
- ☒ Limited resources for activities to support enforcement and compliance with youth tobacco access laws
- ☒ Limitations in the State youth tobacco access laws
- ☐ Limited public support for enforcement of youth tobacco access laws
- ☒ Limitations on completeness/accuracy of list of tobacco outlets
- ☐ Limited expertise in survey methodology

- ☐ Laws/regulations limiting the use of minors in tobacco inspections
- ☐ Difficulties recruiting youth inspectors
- ☐ Geographic, demographic, and logistical considerations in conducting inspections
- ☐ Cultural factors (e.g., language barriers, young people purchasing for their elders)
- ☐ Issues regarding sources of tobacco under tribal jurisdiction
- ☐ Other challenges (*please list*): \_\_\_\_\_
- ☐ No challenges (*please explain*): \_\_\_\_\_

*Briefly describe all items checked above:*

A 50% budget reduction for 2006 enforcement activities is planned again next year due to the state's budget deficit. The department will utilize other venues for promotion due to the significant decrease in finances allotted for public education. The law was changed by the 2005 General Assembly.

Tobacco Vendor Licenses are obtained through the Division of Taxation. Due to staff shortages at the Division of Taxation and the absence of an annual renewal license fee, the Rhode Island list of tobacco vendors is inaccurate. Therefore, the Department of Mental Health, Retardation & Hospitals has been maintaining a database and then cross-referencing it with the Division of Taxation for accuracy. Annually, a mailing goes out to check which businesses have closed or moved. These are added to our database for a more accurate listing. Last year the Governor included in his budget that all tobacco vendors be required to pay an annual \$10.00 fee for a tobacco vendor license to sell tobacco products. This should help the accuracy of the list for future surveys.

## APPENDIX A: FORMS

**SSES Table 1 (Synar Survey Estimates and Sample Sizes)**

### CSAP-SYNAR REPORT

State	RI
Federal Fiscal Year (FFY)	2006
Date	7/19/2005 12:02
Data	SSES Data Entry Template-SRS2005.xls
Analysis Option	Stratified SRS with FPC

### Estimates

Unweighted Retailer Violation Rate	9.1%
Weighted Retailer Violation Rate	9.1%
Standard Error	1.2%
Is SAMHSA Precision Requirement met?	YES
Right-sided 95% Confidence Interval	[0.0%, 11.1%]
Two-sided 95% Confidence Interval	[6.7%, 11.5%]
Design Effect	1.0
Accuracy Rate (unweighted)	76.8%
Accuracy Rate (weighted)	76.8%
Completion Rate (unweighted)	92.7%

### Sample Size for Current Year

Effective Sample Size	392
Target (Minimum) Sample Size	392
Original Sample Size	587
Eligible Sample Size	451
Final Sample Size	418
Overall Sampling Rate	26.3%

**SSES Table 2 (Synar Survey Results by Stratum and by OTC/VM)**

STATE: RI

FFY: 2006

Samp. Stratum	Var. Stratum	Outlet Frame Size	Estimated Outlet Population Size	Number of PSU Clusters Created	Number of PSU Clusters in Sample	Outlet Sample Size	Number of Eligible Outlets in Sample	Number of Sample Outlets Inspected	Number of Sample Outlets in Violation	Retailer Violation Rate(%)	Standard Error(%)
<b>All Outlets</b>											
1	1	2,107	1,619	N/A	N/A	587	451	418	38	9.1%	
Total		2,107	1,619			587	451	418	38	9.1%	1.2%
<b>Over the Counter Outlets</b>											
1	1	1,965	1,379	N/A	N/A	441	377	356	34	9.6%	
Total		1,965	1,379			441	377	356	34	9.6%	1.3%
<b>Vending Machines</b>											
1	1	142	240	N/A	N/A	146	74	62	4	6.5%	
Total		142	240			146	74	62	4	6.5%	2.7%



**SSES Table 3 (Synar Survey Sample Tally Summary)**

STATE: RI

FFY: 2006

Disposition Code	Description	Count	Subtotal
EC	Eligible and inspection complete outlet	418	
Total (Eligible Completes)			418
N1	In operation but closed at time of visit	0	
N2	Unsafe to access	9	
N3	Presence of police	0	
N4	Youth inspector knows salesperson	9	
N5	Moved to new location but not inspected	0	
N6	Drive thru only/youth inspector has no drivers license	0	
N7	Tobacco out of stock	0	
N8	Run out of time	0	
N9	Other noncompletion (see below)	15	
Total (Eligible Noncompletes)			33
I1	Out of Business	43	
I2	Does not sell tobacco products	43	
I3	Inaccessible by youth	24	
I4	Private club or private residence	26	
I5	Temporary closure	0	
I6	Unlocatable	0	
I7	Wholesale only/Carton sale only	0	
I8	Vending machine broken	0	
I9	Duplicate	0	
I10	Other ineligibility	0	
Total (Ineligibles)			136
Grand Total			587

**Give reasons and counts for other noncompletion:**

Reason	Count
Burrillville - Unable to conduct survey due to staff shortages	Missing 4 out of 4 Surveys
Cranston - Administrative error	Missing 1 out of 46 Surveys
Hopkinton - Unable to conduct survey due to staff shortages	Missing 2 out of 2 Surveys
Jamestown - Unable to conduct survey due to staff shortages	Missing 1 out of 1 Survey
Little Compton - Unable to conduct survey due to staff shortages	Missing 2 out of 2 Surveys
New Shoreham - Unable to conduct survey due to staff shortages	Missing 3 out of 3 Surveys
West Greenwich - Unable to conduct survey due to staff shortages	Missing 2 out of 2 Surveys

**SSES Table 4 (Synar Survey Inspection Results by Youth Inspector Characteristics)**

STATE: RI

FFY: 2006

**Frequency Distribution**

Gender	Age	Number of Inspectors	Attempted Buys	Successful Buys
Male	14	4	22	1
	15	8	56	0
	16	12	192	18
	17	10	40	7
	18	0	0	0
	Subtotal	34	310	26
Female	14	4	10	0
	15	10	43	4
	16	7	42	5
	17	5	13	3
	18	0	0	0
	Subtotal	26	108	12
Other		0	0	0
Grand Total		60	418	38

**Buy Rate in Percent by Age and Gender**

Age	Male	Female	Total
14	4.5%	0.0%	3.1%
15	0.0%	9.3%	4.0%
16	9.4%	11.9%	9.8%
17	17.5%	23.1%	18.9%
18	0.0%	0.0%	0.0%
Other			0.0%
Total	8.4%	11.1%	9.1%

## APPENDIX B

STATE: RI

FFY: 2005

### SYNAR SURVEY SAMPLING METHODOLOGY

**1. What type of sampling frame is used?**

☒ List frame

*(Go to Question 2)*

☐ Area frame

*(Go to Question 3)*

☐ List-assisted area frame

*(Go to Question 2)*

**2. List all sources of the list frame. Indicate the type of source from the list below. Provide a brief description of the frame source. Explain how the lists are updated (method), including how new outlets are identified and added to the frame. In addition, explain how often the lists are updated (cycle). (After completing this question, go to Question 4)**

*Use the corresponding number to indicate Type of Source in the table below:*

**1** – Statewide commercial business list

**4** – Statewide retail license/permit list

**2** – Local commercial business list

**5** – Statewide liquor license/permit list

**3** – Statewide tobacco license/permit list

**6** – Other

**3. If an area frame is used, describe how area sampling units are defined and formed.**

N/A

- a. Is any area left out in the formation of the area frame?**
- ☐
- Yes
- ☒
- No

*If Yes, what percentage of the State's population is not covered by the area frame?*

\_\_\_\_\_%

**4. Federal regulation requires that vending machines be inspected as part of the Synar survey. Are vending machines included in the Synar survey?**

- ☒
- Yes
- ☐
- No

*If No, please indicate the reason they are not included in the Synar survey.*

- ☐
- State law bans vending machines
- 
- ☐
- State law bans vending machines from locations accessible to youth
- 
- ☐
- State has SAMHSA approval to exempt vending machines from the survey
- 
- ☐
- Other (please describe): \_\_\_\_\_

**5. Which category below best describes the sample design? (Check only one)**

- ☐
- Census (STOP HERE: Appendix B is complete)

**Unstratified State-wide sample:**

- ☒
- Simple random sample (go to Question 9)
- 
- ☐
- Systematic random sample (go to Question 6)
- 
- ☐
- Single-stage cluster sample (go to Question 8)
- 
- ☐
- Multi-stage cluster sample (go to Question 8)

**Stratified sample:**

- ☐
- Simple random sample (go to Question 7)
- 
- ☐
- Systematic random sample (go to Question 6)
- 
- ☐
- Single-stage cluster sample (go to Question 7)
- 
- ☐
- Multi-stage cluster sample (go to Question 7)

- ☐
- Other (please describe and go to Question 9): \_\_\_\_\_

**6. Describe the systematic sampling methods. (After completing Question 6, go to Question 7 if stratification is used. Otherwise go to Question 9.)**

**7. Provide the following information about stratification**

**a. Provide a full description of the strata that are created.**

**b. Is clustering used within the stratified sample?**

☐ **Yes** (go to Question 8)

☐ **No** (go to Question 9)

**8. Provide the following information about clustering**

**a. Provide a full description of how clusters are formed.** *(If multi-stage clusters are used, give definitions of clusters at each stage.)*

**b. Specify the sampling method (simple random, systematic, or probability proportional to size sampling) for each stage of sampling and describe how the method(s) is (are) implemented**

**9. Provide the formulae for determining the effective, target, and original outlet sample sizes.**

The **effective sample size** (n) is calculated as follows to achieve a 95% confidence interval  $\pm 3\%$ :

$$n = 1/[(se^2/(p*q)) + (1/N)], \text{ where}$$

n = sample size

N = sampling frame size, or universe of outlets statewide

p = proportion of unsuccessful buys (.80)

q = proportion of successful buys (.20)

z = z-score for level of confidence of .95 (1.645)

CI = confidence interval  $\pm$  range (.03)

The **target sample size** is calculated as the effective sample size x the design effect (which is 1).

The **original outlet sample size** is calculated as the target sample size x (1 + the overdraw factor). The overdraw factor for this FY is .50.

FFY: 2006 State: Rhode Island  
Date: April 11, 2006

## APPENDIX C

STATE: RIFFY: 2005

## SYNAR SURVEY INSPECTION PROTOCOL

*Note: Attach a copy of the inspection form and protocol used to record the inspection result.*

## 1. How does the State Synar survey protocol address the following?

## a. Consummated buy attempts?

- ☒ Required ☐ Not Permitted  
☐ Permitted under specified circumstances ☐ Not specified in protocol

## b. Youth inspectors to carry ID?

- ☒ Required ☐ Not Permitted  
☐ Permitted under specified circumstances ☐ Not specified in protocol

## c. Adult inspectors to enter the outlet?

- ☐ Required ☐ Not Permitted  
☐ Permitted under specified circumstances ☒ Not specified in protocol

## d. Youth inspectors to be compensated?

- ☒ Required ☐ Not Permitted  
☐ Permitted under specified circumstances ☐ Not specified in protocol

## 2. Identify the agency(s) or entity(s) that actually conduct the random, unannounced Synar inspections of tobacco outlets. (Check all that apply)

- ☒ Law enforcement agency(s)  
☐ State or local government agency(s) other than law enforcement  
☐ Private contractor(s)  
☐ Other

List the agency name(s): \_\_\_\_\_

## 3. Are Synar inspections combined with law enforcement efforts (i.e., do law enforcement issue warnings or citations to retailers found in violation of the law at the time of the inspection)?

- ☐ Always ☐ Usually ☐ Sometimes ☐ Rarely ☒ Never

**4. Describe the methods used to recruit, select, and train youth inspectors and adult supervisors.**

Recruitment of youth is primarily done by each police department with assistance from task forces. WORD Program for recruitment of youth. Training of youth is done by each police department. MHRH trains the police departments annually on the Survey Protocol, which is used at the police departments for the training tool of youth.

**5. Are there specific legal or procedural requirements instituted by the State to address the issue of youth inspectors' immunity when conducting inspections?**

**a. Legal** ☒ Yes ☐ No (If Yes, please describe):

Title 11 Criminal Offenses Chapter 11-9 Children

11-9-13.6

Underage individuals, acting as agents for the department of mental health, retardation and hospitals and with the written permission of a parent or guardian, may purchase, with impunity from prosecution, tobacco products for the purposes of law enforcement or government research involving monitoring compliance with this chapter, provided that the underage individuals are supervised by an adult law enforcement official. Any individual participating in an unannounced compliance check of the over-the-counter or vending machine sales, must state his or her accurate age if asked by the sales representative of the retail establishment being checked.

**b. Procedural** ☒ Yes ☐ No (If Yes, please describe):

**Synar Survey Protocol**

**LOGISTICS**

- The survey is targeted to be completed in one month.
- The survey is to be conducted during after school hours or weekends.
- Survey only those outlets for which you receive a survey form. If the outlet is closed, no longer sells tobacco products, or if conditions are unsafe for inspecting, note this information on the data collection form with an explanation of why the purchase survey was not completed.
- Survey teams should consist of one police officer and one surveyor if male or two surveyors if female.

**SURVEYORS**

- Surveyors should be between the age of 15 and 16, avoid those youth that appear older than or younger than these ages.
- Hold an age verification panel, using the Age Rating Sheet; by having 3 to 4 people rate the apparent age of the youth surveyor.
- There should be approximately 50% female surveyors and 50% male surveyors.
- Surveyors should dress as they would normally; avoiding makeup and clothing that would make them appear older than 16 years of age.
- All departments will be required to have money for the purchase of the cigarettes during the survey and only give the buy money to the youth once you reach the survey site.



- Surveyors must be paid **minimum wage** per hour; the request for reimbursement must be made
- on an invoice form along with the request for reimbursement of the officers and the buy money spent.

### PROCEDURES

- Each participating law enforcement official will receive the survey forms for the sites for which she or he is responsible.
- Due to the guidelines for the survey process it is critical that you only survey sites for which you have received a report form, no site substitution is allowed.
- Police officers are to drive surveyors to the selected site in an unmarked police vehicle.
- Police officers should park cars in a location where the surveyor can enter and exit the car and the establishment safely but not be in direct view of the survey sites personnel.
- The youth will enter the establishment and attempt to make a purchase if:
  - There are no police officers who are not part of the survey team present in the establishment.
  - The youth, upon entering the establishment determines that she or he does not know anyone present.
  - If the situation does not appear to be dangerous.
- Packs to be requested are: **Marlboro's, Winston Lights or Newports.**
- Surveyors are to leave their ID in the vehicle with the officer.
- If asked their age the surveyor is to be truthful and if asked for ID they are to say they don't have any with them.
- If a purchase is made the surveyor is to pay for the product and leave the establishment quickly.
- Upon completion of the survey the youth surveyor is to complete the report form prior to moving to the next site. Also, if a purchase is made, the cigarettes are to be turned over to the law enforcement official immediately upon return to the vehicle.

**6. Are there specific legal or procedural requirements instituted by the State to address the issue of the safety of youth inspectors during all aspects of the Synar inspection process?**

- a. Legal ☐ Yes ☒ No (If Yes, please describe):
- b. Procedural ☒ Yes ☐ No (If Yes, please describe):

*See Survey Protocol for question 5*

**7. Are there any other legal or procedural requirements the State has regarding how inspections are to be conducted (e.g., age of youth inspector, time of inspections, training that must occur)?**

- a. Legal ☒ Yes ☐ No (If Yes, please describe):

**§ 11-9-13.6 Duties of the department of mental health, retardation and hospitals.** – The department of mental health, retardation and hospitals shall:

(1) Coordinate and promote the enforcement of the provisions of this chapter and serve as the primary liaison from this department to other state or local agencies, departments, or divisions on issues pertaining to stopping children's access to tobacco.

(2) Provide retail tobacco products dealers signs concerning the prohibition of sales to children under eighteen (18) years of age. The signs, conforming to the requirements of this chapter, shall be sold at cost. This sign, or an exact duplicate of it made privately, shall be displayed in all locations where tobacco products are sold.

(3) Investigate concurrently with other state and local officials violations of this chapter.

(4) Utilize unannounced statewide compliance checks of tobacco product sales including retail tobacco over-the-counter sales, mail order sales initiated via mail, facsimile, telephone or internet ordering or other types of electronic communications, and tobacco vending machine sales as part of investigating compliance with the provisions of this chapter. Underage individuals, acting as agents for the department of mental health, retardation and hospitals and with the written permission of a parent or guardian, may purchase, with impunity from prosecution, tobacco products for the purposes of law enforcement or government research involving monitoring compliance with this chapter, provided that the underage individuals are supervised by an adult law enforcement official. Any individual participating in an unannounced compliance check of over-the-counter or vending machine sales, must state his or her accurate age if asked by the sales representative of the retail establishment being checked.

(ii) In fulfilling the requirement of unannounced statewide compliance checks, the department of mental health, retardation and hospitals shall maintain complete records of the unannounced compliance checks, detailing, at least, the date of the compliance check, the name and address of the retail establishment checked or the mail order company, the results of the compliance check (sale/no sale), whether the sale was made as an over-the-counter sale, a mail order purchase or a tobacco vending machine sale, and if a citation was issued for any violation found. The records shall be subject to public disclosure. Further, the department of mental health, retardation and hospitals shall report to the owner of each retail establishment checked or mail order company, the results of any compliance check (sale/no sale) whether the sale was made as an over-the-counter sale, a mail order purchase or a tobacco vending machine sale, and if a citation was issued for any violation found.

(5) Seek enforcement, concurrently with other state and local officials, of the penalties as detailed in this chapter.

(6) Develop and disseminate community health education information and materials relating to this chapter.

**b. Procedural**

☒ Yes

☐ No (If Yes, please describe):

*See Survey Protocol for question 5*

**APPENDIX D****STATE:** RI**FFY:** 2006**List Sampling Frame Coverage Study**  
*(LIST FRAME ONLY)***1. Calendar year of the coverage study:** 2006**2. Percent coverage found:** 91.2 %

As calculated below:

# of Outlets matched with the list frame (*b*): 115# of Outlets found by the coverage study (*n*): 126# of Outlets canvassed but not found in the list frame (missing): 11Coverage rate ( $100 \times b/n$ ): **91.2%****3. Provide a description of the coverage study methods and results.****Step 1:** The Department of Mental Health, Retardation and Hospitals received the list of all licensed tobacco vendors from the Department of Taxation.**Step 2:** Department staff revised and updated the list. (See below for details).

- 1) Obtained the list from taxation. Added "VEND" and "OTC" in column labeled "Type" and merged the two categories.
- 2) Added columns for location address, mail address, location name, mail name, and took relevant data from other fields and merged them into those columns.
- 3) Standardized addresses, and corrected those that were invalid through telephone calls, mailings, and site visits. Also searched for and removed duplicates.
- 4) Compared list to last year's sites surveyed. Sites found to be "out of business" or "no longer selling tobacco" were removed from the list.

**Step 3:** Created a listing of sites in an Excel spreadsheet which included Establishment Name, Address, City, State, and Zip Code. Note: In 2005 a law went into effect prohibiting smoking inside of restaurants and bars. Therefore, vending machines are no longer utilized. The total number OTC tobacco outlets in this list was 1630.**Step 4:** The list of OTC tobacco outlets was imported into Maptitude software and divided by census tracts based on address and zip code. Census tracts that contained less than 20 outlets were combined logically with neighboring geographic tracts to fall within the expected average group size. Expected average group size is between 7 and 20 outlets. All census tract groups fall within this range.**Step 5:** Used SPSS simple random sample function to randomly sample a total of 10 areas

which contained a total of 137 outlets. Printed list of all outlets in this sample for later coverage validation. Post-survey note: When matching the sites surveyed with the list of randomly selected sites, it was determined that two sites on the sampled list were duplicates. Specifically, it was determined by phone conversation with the owner of the first site that he purchased a second tobacco license and called the business by a different name. For the second duplicate, it was determined by phone conversation with the Division of Taxation that there was only one license for that location, but it was listed with two different names on the Division of Taxation licensing list. Therefore, a total of 2 records were removed from the original sample list, resulting in a sample total of 135 sites.

**Step 6:** Printed street maps of all the boundaries of each census tract or tract group from the Maptitude software.

**Step 7:** Tobacco enforcement coordinator distributed the census maps to the field workers and presented the protocols for completing the coverage study. Field workers were instructed to canvass each street covered within the census tracts they were given.

**Step 8:** Field workers went out in pairs and thoroughly canvassed their assigned tracts. Field workers listed all outlets found in each census area, and indicated whether or not the outlet sold tobacco products and was accessible to youth under 18.

**Step 9:** A total of 126 sites were canvassed. The following spreadsheet contains the field workers' canvassing data:

	Outlet Name	Outlet Address	City	Sells Tobacco	Accessible to minors (<18)
1	ANTHONY'S WINE & SPIRITS	895 TIOGUE AVE	COVENTRY	yes	yes
2	BILLS LIQUORS	672 MAIN ST	COVENTRY	yes	yes
3	BROOKS PHARMACY	10 COVENTRY SHOPPERS PARK	COVENTRY	yes	yes
4	BROOKS PHARMACY	719 TIOGUE AVE	COVENTRY	yes	yes
5	CHRISTY'S LIQUORS	725 TIOGUE AVE	COVENTRY	yes	yes
6	COVENTRY MART	1100 MAIN ST	COVENTRY	yes	yes
7	COVENTRY XTRA MART	851 TIOGUE AVE	COVENTRY	yes	yes
8	CUMBERLAND FARMS	436 KNOTTY OAK RD	COVENTRY	yes	yes
9	CUMBERLAND FARMS	785 TIOGUE AVE	COVENTRY	yes	yes
10	CVS PHARMACY	763 TIOGUE AVE	COVENTRY	yes	yes
11	DECIANTIS ICE CREAM CO	1373 MAIN ST	COVENTRY	yes	yes
12	JERRY'S SUPERMARKET	1 SHOPPERS PARK	COVENTRY	yes	yes
13	<b>MOBIL COVENTRY XTRA MART**</b>	861 TIOGUE AVE	COVENTRY	yes	yes
14	SHOPPERS LIQUORS	1142 MAIN ST	COVENTRY	yes	yes
15	TIOGUE AVE GETTY	585 TIOGUE AVE	COVENTRY	yes	yes
16	WAL MART	1173 TIOGUE AVE	COVENTRY	yes	yes
17	WEL-MAR ENTERPRISES	642 WASHINGTON ST	COVENTRY	yes	yes
18	AAA GAS	717 PARK AVE	CRANSTON	yes	yes
19	AL MALL DAILY MART	1814 BROAD ST	CRANSTON	yes	yes
20	ALEXS AUTO REPAIR	266 PARK AVE	CRANSTON	yes	yes
21	AL-MALL MINI MART	41 WARWICK AVE	CRANSTON	yes	yes
22	ANOTHER LIQUOR STORE	311B WARWICK AVE	CRANSTON	yes	yes
23	BAHRAS MARKET	983 OAKLAWN AVE	CRANSTON	yes	yes
24	BROOKS PHARMACY	1764 BROAD ST	CRANSTON	yes	yes
25	CAMERONS PAWTUXET PHARMACY	2206 BROAD ST	CRANSTON	yes	yes
26	CAPITAL LIQUORS	811 PARK AVE	CRANSTON	yes	yes
27	CORK & BOTTLE	526 PONTIAC AVE	CRANSTON	yes	yes
28	CUMBERLAND FARMS	2148 BROAD ST	CRANSTON	yes	yes
29	CVS PHARMACY	675 PARK AVE	CRANSTON	yes	yes
30	EAST SIDE FOOD MART	1207 PONTIAC AVE	CRANSTON	yes	yes

	Outlet Name	Outlet Address	City	Sells Tobacco	Accessible to minors (<18)
31	EDGEWOOD MARKET	1043 NARRAGANSETT	CRANSTON	yes	yes
32	EDGEWOOD SERVICE	1647 BROAD ST	CRANSTON	yes	yes
33	FORTINI ENTERPRISES LTD	1282 ELMWOOD AVE	CRANSTON	yes	yes
34	GREG'S OAKLAWN SERVICE CENTER	908 OAKLAWN AVE	CRANSTON	yes	yes
35	HARBOR SHELL	1020 NARRAGANSETT BLVD	CRANSTON	yes	yes
36	HESS	305 PHENIX AVE	CRANSTON	yes	yes
37	HUMIDOR CIGAR WORLD	1500 OAKLAWN AVE	CRANSTON	yes	yes
38	KATTAN'S MARKET	120 EAST ST	CRANSTON	yes	yes
39	KATTAN'S MARKET	644 OAKLAWN AVE	CRANSTON	yes	yes
40	LA FRONTERA	1522 BROAD ST	CRANSTON	yes	yes
41	LINDSAYS MARKET	2180 BROAD ST	CRANSTON	yes	yes
42	MERRY GO ROUND LIQUORS	1992 BROAD ST	CRANSTON	yes	yes
43	MORINS LIQUOR STORE	1621 ELMWOOD AVE	CRANSTON	yes	yes
44	OAKLAWN DISCOUNT LIQUORS	985 OAKLAWN AVE	CRANSTON	yes	yes
45	OAKLAWN MOBIL SERVICE CENTER	975 OAKLAWN AVE	CRANSTON	yes	yes
46	PARK & SHOP CONVENIENCE STORE	285 PARK AVE	CRANSTON	yes	yes
47	PHREDS DRUG	1300 OAKLAWN AVE	CRANSTON	yes	yes
48	PHUNKY STUFF	553 PARK AVE	CRANSTON	yes	yes
49	PONTIAC FOOD MART	527 PONTIAC AVE	CRANSTON	yes	yes
50	QUICK MART	164 PARK AVE	CRANSTON	yes	yes
51	QUICK PICK FOOD MARKET	736 PARK AVE	CRANSTON	yes	yes
52	SABAHAT	880 OAKLAWN AVE	CRANSTON	yes	yes
53	SAMS FOOD STORE	460 PONTIAC AVE	CRANSTON	yes	yes
54	SPEEDY MART	95 WARWICK AVE	CRANSTON	yes	yes
55	<b>SUNOCO**</b>	1050 NARRAGANSETT BLVD	CRANSTON	yes	yes
56	<b>SUNOCO**</b>	2175 BROAD ST	CRANSTON	yes	yes
57	WALGREENS	1763 BROAD ST	CRANSTON	yes	yes
58	CHAPEL PHARMACY	2081 DIAMOND HILL RD	CUMBERLAND	yes	yes
59	CUMBERLAND PETRO CENTER	2045 DIAMOND HILL RD	CUMBERLAND	yes	yes
60	CVS PHARMACY	2125 DIAMOND HILL RD	CUMBERLAND	yes	yes
61	DAVES MARKETPLACE	2077 DIAMOND HILL RD	CUMBERLAND	yes	yes
62	DIAMOND HILL CONVENIENCE	2275 DIAMOND HILL RD	CUMBERLAND	yes	yes
63	DIAMOND HILL GENERAL	3782 DIAMOND HILL RD	CUMBERLAND	yes	yes
64	DIAMOND HILL SUNOCO	2093 DIAMOND HILL RD	CUMBERLAND	yes	yes
65	<b>SHELL**</b>	2095 DIAMOND HILL RD	CUMBERLAND	yes	yes
66	DENIS MARKET	361 OLD RIVER RD	LINCOLN	yes	yes
67	LINCOLN GREYHOUND PARK	1600 LOUISQUISSET PIKE	LINCOLN	yes	yes
68	LINCOLN MARKET	42 MAIN ST	LINCOLN	yes	yes
69	LINCOLN TEXACO	1783 LOUISQUISSET PIKE	LINCOLN	yes	yes
70	MANVILLE PALACE PIZZAS	141 RAILROAD ST	LINCOLN	yes	yes
71	ONE STOP LIQUOR	97 RAILROAD ST	LINCOLN	yes	yes
72	STOP & SHOP	622 GEORGE WASHINGTON HWY	LINCOLN	yes	yes
73	SUNOCO	630 GEORGE WASHINGTON HWY	LINCOLN	yes	yes
74	THE FAMILY DELI AND RESTAURANT	6 MAIN ST	LINCOLN	yes	yes
75	WASH HILL LIQUORS	616 WASHINGTON HWY	LINCOLN	yes	yes
76	7-ELEVEN	135 JT CONNELL HWY	NEWPORT	yes	yes
77	A MURPHYS LIQUORS	493 BROADWAY	NEWPORT	yes	yes
78	AIDINOFFS WINE AND SPIRITS	16 WARNER ST	NEWPORT	yes	yes
79	BAHRAS MARKET	445 BROADWAY	NEWPORT	yes	yes
80	BISHOPS NEWS AND VARIETY	316 BROADWAY	NEWPORT	yes	yes

	Outlet Name	Outlet Address	City	Sells Tobacco	Accessible to minors (<18)
81	BRIDGE LIQUORS	23 CONNELL HWY	NEWPORT	yes	yes
82	<b>BROADWAY MUSIC**</b>	318 BROADWAY	NEWPORT	yes	yes
83	C H CHARLES	232 BROADWAY	NEWPORT	yes	yes
84	CUMBERLAND FARMS	125 BROADWAY	NEWPORT	yes	yes
85	<b>FOURS SEASONS MARKET**</b>	312 BROADWAY	NEWPORT	yes	yes
86	HARRYS LIQUOR STORE	199 CONNELL HWY	NEWPORT	yes	yes
87	HILL MART	295 CONNELL HWY	NEWPORT	yes	yes
88	HOAN	126 BROADWAY	NEWPORT	yes	yes
89	KEK FOOD MART	10 CONNELL HWY	NEWPORT	yes	yes
90	LUNA COMMUNITY MARKET	133 BEACON ST	NEWPORT	yes	yes
91	NEWPORT PRESCRIPTION CENTER	291 BROADWAY	NEWPORT	yes	yes
92	NEWPORT ROTARY SHELL	138 CONNELL HWY	NEWPORT	yes	yes
93	STOP & SHOP	199 CONNELL HWY	NEWPORT	yes	yes
94	VAILLANCOURTS MEAT MARKET	108 VAN ZANDT AVE	NEWPORT	yes	yes
95	WAL MART	199 CONNELL HWY	NEWPORT	yes	yes
96	<b>7-11**</b>	460 SMITH ST	PROVIDENCE	yes	yes
97	ATLAS MUSIC CORP	1106 NORTH MAIN S	PROVIDENCE	yes	yes
98	BAHRAS MARKET	422 LLOYD AVE	PROVIDENCE	yes	yes
99	BROOKS PHARMACY	1200 NORTH MAIN ST	PROVIDENCE	yes	yes
100	CAPITAL EXXON	201 SMITH ST	PROVIDENCE	yes	yes
101	CITY LIQUORS	1285 NORTH MAIN ST	PROVIDENCE	yes	yes
102	CUMBERLAND FARMS	751 HOPE ST	PROVIDENCE	yes	yes
103	CVS PHARMACY	799 HOPE ST	PROVIDENCE	yes	yes
104	DECS LIQUOR STORE	187 DOUGLAS AVE	PROVIDENCE	yes	yes
105	<b>DIGNA'S MARKET**</b>	133 CAMDEN AVE	PROVIDENCE	yes	yes
106	EAST SIDE FOOD MART	1075 NORTH MAIN ST	PROVIDENCE	yes	yes
107	EAST SIDE SERVICE CENTER	1100 HOPE ST	PROVIDENCE	yes	yes
108	G I JOES	445 SMITH ST	PROVIDENCE	yes	yes
109	HESS	1215 NORTH MAIN ST	PROVIDENCE	yes	yes
110	<b>HOPE ST. CONVENIENCE**</b>	775 HOPE ST	PROVIDENCE	yes	yes
111	JENNY'S MARKET	537 CHALKSTONE AVE	PROVIDENCE	yes	yes
112	ORIENTAL PLAZA	373 SMITH ST	PROVIDENCE	yes	yes
113	PACK SHOPS CANDY SERVICE	82 NASHUA ST	PROVIDENCE	yes	yes
114	<b>PENALTY BOX**</b>	1119 NORTH MAIN ST	PROVIDENCE	yes	yes
115	SMITH HILL LIQUORS	461 SMITH ST	PROVIDENCE	yes	yes
116	SMITH HILL MARKET	194 DOUGLAS AVE	PROVIDENCE	yes	yes
117	SUPER PETROLEUM	175 DOUGLAS AVE	PROVIDENCE	yes	yes
118	SWAN LIQUOR	806 HOPE ST	PROVIDENCE	yes	yes
119	<b>3 GUYS CIGAR CO.**</b>	2077 W. SHORE RD	WARWICK	yes	yes
120	CROWN LIQUOR	1230 WEST SHORE RD	WARWICK	yes	yes
121	CUMBERLAND FARMS	1196 WEST SHORE RD	WARWICK	yes	yes
122	EXPRESS FOOD MART	805 OAKLAND BEACH AVE	WARWICK	yes	yes
123	MILK MART	1243 WEST SHORE RD	WARWICK	yes	yes
124	OAKIES	626 OAKLAND BEACH AVE	WARWICK	yes	yes
125	OROB CONIMICUT VARIETY	695 WEST SHORE RD	WARWICK	yes	yes
126	WARWICK NECK GENERAL STORE	295 WARWICK NECK AVE	WARWICK	yes	yes

\*\*The asterisked sites (total of 11) were found within the census tracts while canvassing, but were not included in the original sample frame. The remaining 115 sites were matched with records in the sample frame.

**Note:**

The tobacco enforcement coordinator and data analyst compared the field workers' lists with the original sample frame list of 135 sites.

An additional ten sites were identified during canvassing as being "Out of business", and were also found on the original sample frame list.

An additional four sites were identified during canvassing as operational but "No longer selling tobacco", and were also found on the original sample frame list.

Finally, there were six instances of procedural error, where the canvassers did not traverse the entire distance of the census tract, and therefore missed outlets that should have been included in the survey.

**Step 10 (Results):**

# of Outlets matched with the list frame (*b*): 115

# of Outlets found by the coverage study (*n*): 126

# of Outlets canvassed but not found in the list frame (missing): 11

Coverage rate ( $100 \times b/n$ ): **91.2%**



